

# Neurodevelopment of Children Under 3 Years of Age With Smith-Magenis Syndrome

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Systematic data regarding early neurodevelopmental functioning in Smith-Magenis syndrome are limited. Eleven children with Smith-Magenis syndrome less than 3 years of age (mean, 19 months; range, 5-34 months) received prospective multidisciplinary assessments using standardized measures. The total sample scored in the moderately to severely delayed range in cognitive functioning, expressive language, and motor skills and exhibited generalized hypotonia, oral-motor abnormalities, and middle ear dysfunction. Socialization skills were average, and significantly higher than daily living, communication, and motor abilities, which were below average. Mean behavior ratings were in the nonautistic range. According to exploratory analyses, the toddler subgroup scored significantly lower than the infant subgroup in cognition, expressive language, and adaptive behavior, suggesting that the toddlers were more delayed than the infants relative to their respective peers. Infants aged approximately 1 year or younger exhibited cognitive, language, and motor skills that ranged from average to delayed, but with age-appropriate social skills and minimal maladaptive behaviors. At ages 2 to 3 years, the toddlers consistently exhibited cognitive, expressive language, adaptive behavior, and motor delays and mildly to moderately autistic behaviors. Combining age groups in studies may mask developmental and behavioral differences. Increased knowledge of these early neurodevelopmental characteristics should facilitate diagnosis and appropriate intervention. © 2009 Published by Elsevier Inc.

Wolters PL, Gropman AL, Martin SC, Smith MR, Hildenbrand HL, Brewer CC, Smith ACM. Neurodevelopment of children under 3 years of age with Smith-Magenis syndrome. *Pediatr Neurol* 2009;41:250-258.

## Introduction

Smith-Magenis syndrome (SMS) is a genetic disorder associated with a distinct phenotype of physical features and neurobehavioral abnormalities caused by an interstitial deletion of chromosome 17p11.2 [1] or mutations in the *RAI1* gene [2]. Since the syndrome was first described in 1982 [3], more than 500 persons with SMS have been identified worldwide [4]. The minimum prevalence of this genetic disorder is estimated to be approximately 1 in every 25,000 births [5]. Clinical recognition of the complex physical, developmental, and behavioral features is important for diagnosis. Smith-Magenis syndrome usually is confirmed by detecting the deletion cytogenetically and by means of fluorescence in situ hybridization [5] with genomic probes that contain *RAI1* [6]. Despite advances in cytogenetic techniques, however, the diagnosis of SMS may be delayed or even missed, for lack of clinical awareness of the syndrome and because some identifying characteristics overlap with those of other genetic disorders [7].

The characteristic physical features of children and adults with SMS include minor facial dysmorphism, such as brachycephaly, midface hypoplasia, prominent broad forehead, upslanting palpebral fissures, epicanthal folds, broad nasal bridge, and a tented upper lip [8] (Fig 1). Hearing

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Received October 30, 2008; accepted April 13, 2009.



Figure 1. A 9-month old infant manifesting the facial features characteristic of SMS. (Reprinted with permission of John Wiley & Sons, Inc. from *Management of Genetic Syndromes, Second Edition*; Edited by Suzanne B. Cassidy and Judith E. Allanson; Copyright 2005 John Wiley & Sons, Inc. [4]).

impairment, ocular abnormalities, short stature, brachydactyly, and scoliosis also are common [1,5,9].

The neurobehavioral features associated with SMS, described primarily from studies of older children and adults, include mental retardation, generally in the mildly to severely delayed range [1,9-14]. Specific cognitive profiles include relative weaknesses in sequential processing, arithmetic, and visuomotor skills and relative strengths in long-term memory, fund of information, and visuospatial abilities [11,14].

Most children with SMS exhibit deficits in speech and language skills [1,4,15]. Reports suggest that expressive language is more impaired than receptive language [9,16,17], but only limited objective data have been published supporting this assertion. Studies also describe pragmatic language deficits [18] and aberrant voice quality. Otolaryngologic abnormalities and oral sensory motor deficits are common and may affect speech [4,15].

Common behavioral problems include deficits in all domains of adaptive behavior [11,14] with daily living skills and communication significantly more impaired than socialization [13]. The majority of children with SMS engage in moderate to severe maladaptive behavior [13,17,19,20], including aggression, temper tantrums, hyperactivity, and stereotypies, such as self-hugging [21]. Furthermore, self-injurious behaviors, such as head-banging, hitting self, hand biting, skin picking, and onychotillomania, are frequent [9,13,17,19,20]. Parent reports [5,9,22] and wrist actigraphy [7,23,24] have documented substantial sleep disturbances that are associated with an inverted circadian rhythm of melatonin [25,26] and maladaptive behavior

[17,19]. These behavioral difficulties are considered the most distinctive and problematic characteristic of the syndrome [17,19,27,28].

To date, no systematic evaluation of a group of children with SMS younger than 3 years of age has been reported. A few prospective studies that included infants did not present the infant data separately [9,12,20]. Currently, the main sources of information regarding the development and behavior of infants and young children with SMS are case reports of very small samples [1,10,29-32] based primarily on subjective descriptions, retrospective observations, and chart reviews [1,10,30,32]. These case reports describe global developmental delays, including motor and speech deficits [7,10,15,29-32], but age-appropriate social skills [30]. Observations also include decreased overall sleep [23], lethargy, and placid behavior [7,30] in infants, and stereotypic and self-injurious behavioral problems that begin to appear at approximately 18 to 24 months of age [4,5,7,17,29,32]. Systematic, prospective studies of young children using a comprehensive battery of standardized measures are needed to validate these observations, further define the early neurodevelopmental characteristics of SMS, and address longitudinal changes in development. Such information could facilitate earlier clinical diagnosis, effective educational and treatment interventions, and more appropriate management of this disorder, which may in turn lead to improved developmental outcomes [33].

For the present study, objective cross-sectional data was collected prospectively from comprehensive multidisciplinary assessments of 11 children with SMS, ages 5 to 35 months, to further delineate the early neurodevelopmental profile of this disorder. Preliminary cross-sectional analyses were conducted to explore the neurodevelopmental characteristics of a younger infant subgroup and an older toddler subgroup.

## Methods

### Participants

Children with a confirmed diagnosis of SMS, younger than 3 years of age, who were enrolled on a longitudinal protocol to assess the natural history of this syndrome were eligible for the study. Eleven children participated in the neurodevelopmental assessments between January 1998 and May 2003 at the National Institutes of Health Clinical Center. All children had a visible interstitial deletion of 17p11.2 documented cytogenetically, by fluorescence in situ hybridization, or both. The Institutional Review Board approved the protocol. All parents gave written informed consent for their child's participation.

### Neurologic Examination

A pediatric neurologist-geneticist conducted a neurologic evaluation on nine of the children; scheduling conflicts prevented this examination for one infant and one toddler. Information obtained from this evaluation included parental report of birth and developmental history, and data regarding cranial nerve function with specific attention to oral motor abilities, neuromotor status, and deep tendon reflexes.

## Psychologic Assessment

A psychologist administered an age-appropriate assessment battery to each child in a quiet room during one to several sessions. The battery comprised the following four scales.

The Bayley Scales of Infant Development-Mental II [34] assesses the developmental functioning of children from birth to 42 months of age. This test yields a Mental Developmental Index, which is a composite standard score reflecting a child's cognitive, language, motor, and social development relative to the normative group.

The Preschool Language Scale-3 [35] evaluates the receptive and expressive language function of children from birth to 83 months of age. This test yields standard scores in total language, auditory comprehension (receptive language), and expressive communication (expressive language).

The Vineland Adaptive Behavior Scales [36] is a semistructured parent interview that assesses the everyday behavioral functioning of children at ages from birth through 18 years. The scale yields standard scores in the domains of communication, daily living skills, socialization, and motor function, as well as an overall Adaptive Behavior Composite score.

The Childhood Autism Rating Scale [37] is a behavioral measure used to identify children with autism. The psychologist rates the child's behavior based on observations during the test session and from parent report of behaviors not directly observed. Fifteen items are rated from 1 (normal) to 4 (severely abnormal), including half-point ratings (1.5, 2.5, 3.5), which are summed for the total score. The child's behavior is classified as nonautistic (total score of <30), mildly to moderately autistic (30-36.5), or severely autistic (37-60).

## Fine and Gross Motor Evaluation

An occupational therapist assessed the fine motor function and a physical therapist evaluated the gross motor function of four infants and four toddlers on this study. Three children did not complete the motor evaluation because of noncompliance (two toddlers) or scheduling difficulties (one infant).

The Peabody Developmental Motor Scale is a standardized test that assesses the motor skills of children from birth to 83 months (in the first edition [38]) or from birth to 72 months (in the second edition [39]). Three children evaluated prior to the year 2000 were administered the 1st edition (1 infant, 2 toddlers); the other five children were administered the 2nd edition. Both tests are divided into fine and gross motor scales that assess similar skills and yield gross and fine motor standard scores.

## Statistical Analysis

The developmental scales (Bayley, Preschool Language, Peabody Motor, and Vineland) yield standard scores (mean = 100, standard deviation = 15) at all ages of the normative sample, allowing comparisons of children's functioning to their same-aged peers. Furthermore, standard scores can be compared between domains to examine strengths and weaknesses and between different ages to explore the progression of developmental delays over time.

Because of the small sample size in this study, nonparametric statistics were used to evaluate differences between scores. To compare various domain standard scores within tests to identify possible strengths and weaknesses, the Wilcoxon signed rank test was used for measures with two domain scores and the Friedman two-way analysis of variance was used for measures with more than two domain scores [40]. If significant differences were found with the Friedman two-way test, post hoc analyses were done to determine which scores were different. One-tailed tests were used when the directionality of the differences was predicted in advance based on previous published reports and clinical observations. Specifically, we hypothesized that expressive language would be more impaired than receptive language [9,16,17] and that socialization skills would be better developed than the other adaptive behavior domains [13]. To explore the progression of developmental delays in young children with SMS, preliminary cross-sectional analyses of standard scores between the infant and

toddler subgroups were conducted, using the exact test method of the Wilcoxon rank sum test [41]. Because of the multiple comparisons and small sample, all analyses of the infant and toddler subgroups were considered exploratory and were performed to generate hypotheses regarding the early neurodevelopment characteristics of SMS.

## Results

### Participants

Participant characteristics are presented in Table 1. The total sample consisted of 11 children with SMS with a mean age of 19.4 months (median age, 21.1 months; range, 5.5-34.8 months). Because of developmental differences between younger and older children within the group under 3 years of age, the sample was divided into two subgroups for further exploratory analyses. Children from birth to less than 18 months of age were classified as infants and children from 18 months to less than 36 months of age were classified as toddlers. Eighteen months was chosen as the cutoff for the two subgroups because of the significant developmental changes that typically occur around this age, including the transition to walking and talking, and because of the emerging behavioral changes that have been observed in children with SMS around this age [4,7]. Of the 11 children in the sample, 5 were classified as infants (mean age, 10.4 months; range, 5.5-16.6 months) and 6 were classified as toddlers (mean age, 26.8 months; range, 21.1-34.8 months).

Ten of the 11 children were born full-term; one patient was a twin delivered at 34 weeks gestation. None of the children experienced any major birth complications. They all received early intervention services, although the amount and type varied widely, from an infant having one general developmental intervention session every other week to a toddler getting both physical and occupational therapy three times a week and speech therapy five times a week. The toddlers received a significantly greater number of therapy sessions per week (mean, 5.7; median, 5.0) than the infants (mean, 2.2; median, 1.5;  $P < 0.05$ ), but the amount of therapy was not associated with developmental test scores (all  $P$ 's  $> 0.05$ ).

**Table 1. Sociodemographic characteristics of the sample of children with Smith-Magenis syndrome**

Characteristic	Total, n = 11	Infants, n = 5	Toddlers, n = 6
Mean age, months	19.4	10.4	26.8
Median age, months	21.1	9.4	25.1
Age range, months	5.5-34.8	5.5-16.6	21.1-34.8
Sex, male/female, no.	3/8	1/4	2/4
Ethnicity,	10/1	5/0	5/1
White, not Hispanic, no./Hispanic, no.			
Median parent education, years	15.3	14.0	15.8
Range parent education, years	10.5-17.0	12.0-16.0	10.5-17.0

Children less than 18 months of age were classified as infants; children from 18 to <36 months were classified as toddlers.

**Table 2. Scores on developmental and behavioral scales for the total sample and the infant and toddler subgroups**

Measures	Mean score* (median) (range)		
	Total, <i>n</i> = 11	Infants, <i>n</i> = 5	Toddlers, <i>n</i> = 6
Bayley Mental Scale			
Mental Developmental Index	66.6 (63.0) (42-89)	78.0 (82.0) (63-89)	57.2 (54.0) (42-84)
Preschool Language Scale ( <i>N</i> = 10) <sup>†</sup>			
Auditory comprehension	78.7 (77.0) (59-104)	83.0 (77.0) (75-104)	74.4 (77.0) (59-89)
Expressive language	72.2 (65.0) (51-111)	83.8 (71.0) (65-111)	60.6 (63.0) (51-65)
Peabody Developmental Motor Scales ( <i>N</i> = 8) <sup>‡</sup>			
Fine motor	75.3 (70.0) (69-97)	81.0 (79.0) (69-97)	69.5 (69.5) (69-70)
Gross motor	71.0 (65.0) (61-91)	74.5 (73.0) (61-91)	67.5 (65.0) (64-76)
Vineland Adaptive Behavior Scales			
Communication	80.1 (80.0) (60-104)	91.4 (90.0) (74-104)	70.7 (69.5) (60-82)
Daily living	78.6 (73.0) (52-106)	92.6 (95.0) (69-106)	67.0 (70.0) (52-75)
Socialization	86.4 (82.0) (63-108)	98.6 (103.0) (78-108)	76.2 (78.0) (63-87)
Motor	76.6 (75.0) (54-84)	85.0 (87.0) (67-94)	69.7 (70.0) (54-84)
Composite score	76.3 (71.0) (53-101)	89.2 (94.0) (66-101)	65.5 (68.5) (53-72)
Childhood Autism Rating Scale			
Total score	27.2 (28.5) (18.5-38.5)	22.7 (20.5) (19-33)	30.9 (30.0) (25-39)

\* All scales yield standard scores (mean = 100, standard deviation = 15) except the Childhood Autism Rating Scale (total score of <30, no autistic behaviors, 30-37, mild-to-moderate autistic behaviors; and >37, severe autistic behaviors).

<sup>†</sup> On the Preschool Language Scale-3 for the toddler subgroup, *n* = 5, because one toddler spoke primarily Spanish and was not administered the language scale.

<sup>‡</sup> On the Peabody Developmental Motor Scales, *n* = 4 each for the infant and toddler subgroups.

Audiologic evaluations, completed in the same week as the neurodevelopmental testing, identified middle ear dysfunction in all five infants and in five of the six toddlers, evidenced by flat tympanograms and associated with ventilation tubes, middle ear effusion, or both. One toddler was found to have a high-frequency sensorineural hearing loss, but he participated fully in the evaluation. Speech detection in a quiet testing situation was adequate, ranging from normal to mildly reduced, for 10 of the 11 children, whereas one 9-month old infant had moderately reduced hearing for speech. Because developmental testing of young infants is dependent primarily on demonstration and observation, the hearing problems of this infant did not appear to interfere with the assessment of his functioning. Thus, the developmental test results are considered valid for the entire sample.

### Neurodevelopmental Functioning of the Total Sample

**COGNITIVE FUNCTION** The developmental assessment scores for the total sample of 11 young children with SMS are listed in Table 2. On the Bayley Scales, the mean Mental Developmental Index of 66.6 is more than 2 standard deviations below the normative mean of 100, which indicates severe delays in overall cognitive functioning (Table 3). Six children scored in the severely delayed range, three were mildly-moderately delayed, and two were within the lower end of normal limits.

**LANGUAGE FUNCTION** Mean language scores ranged from mild delays in receptive skills (78.7) to moderate delays in expressive skills (72.2). Expressive language scores

were lower than receptive language scores in all but two children, who were the youngest infants and both less than 8 months old. There was a trend for lower expressive vs receptive language scores, but this discrepancy was not significant (*P* = 0.0654, one-tailed) in the total sample.

**NEUROLOGIC FUNCTION AND MOTOR SKILLS** All nine children who received a neurologic exam exhibited mild or moderate generalized hypotonia that affected their motor development. Seven of the nine children (77.7%) had normal deep tendon reflexes and two children exhibited depressed rather than exaggerated deep tendon reflexes. Three children demonstrated fine motor tremor. According to parent report, achievement of gross motor milestones was

**Table 3. Descriptive classification of standard scores from the developmental scales**

Classification*	Relation to Normative Mean	Range of Standard Scores
Within normal limits	Within ± 1 S.D. †	85-115
Mildly delayed	1-1.5 S.D. below	77-84
Moderately delayed	1.5-2 S.D. below	70-76
Severely delayed	>2 S.D. below	≤69

\* Descriptive classifications of standard scores are consolidated from the four developmental scales (Bayley Scales Infant Development-II, Preschool Language Scale-3, Peabody Developmental Motor Scales, Vineland Adaptive Behavior Scales) used in this study in relation to the normal curve.

Abbreviation:  
S.D. = Standard deviation



**Table 4. Item scores on the Childhood Autism Rating Scale for the total sample and infant and toddler subgroups**

Item	Mean score* (median) (range)		
	Total, n = 11	Infants, n = 5	Toddlers, n = 6
Relating to people	1.5 (1.5) (1.0-2.5)	1.4 (1.0) (1.0-2.5)	1.5 (1.5) (1.0-2.5)
Imitation	2.5 (2.5) (1.0-3.5)	1.9 (2.0) (1.0-2.5)	2.9 (3.0) (2.0-3.5)
Emotional response	1.8 (2.0) (1.0-3.0)	1.5 (1.5) (1.0-2.5)	2.1 (2.0) (1.0-3.0)
Body use	2.3 (2.0) (1.5-3.0)	2.3 (2.0) (1.5-3.0)	2.3 (2.25) (2.0-3.0)
Object use	1.7 (1.5) (1.0-3.0)	1.3 (1.0) (1.0-2.5)	2.0 (2.0) (1.5-3.0)
Adaptation to change	1.4 (1.0) (1.0-2.5)	1.0 (1.0) (1.0-1.0)	1.7 (1.5) (1.0-2.5)
Visual response	1.4 (1.0) (1.0-2.5)	1.3 (1.0) (1.0-2.0)	1.5 (1.25) (1.0-2.5)
Listening response	1.6 (1.5) (1.0-3.0)	1.3 (1.5) (1.0-1.5)	1.9 (2.0) (1.0-3.0)
Taste, smell, touch response	1.7 (2.0) (1.0-2.5)	1.7 (2.0) (1.0-2.5)	1.8 (2.0) (1.0-2.0)
Fear or nervousness	1.2 (1.0) (1.0-2.0)	1.0 (1.0) (1.0-1.0)	1.3 (1.0) (1.0-2.0)
Verbal communication	2.6 (2.5) (1.0-4.0)	1.6 (1.5) (1.0-2.5)	3.3 (3.5) (2.5-4.0)
Nonverbal communication	1.6 (1.5) (1.0-3.0)	1.6 (1.5) (1.0-2.0)	1.6 (1.25) (1.0-3.0)
Activity level	1.6 (1.5) (1.0-2.0)	1.2 (1.0) (1.0-2.0)	1.8 (2.0) (1.5-2.0)
Intellectual response	2.5 (2.5) (1.5-3.0)	2.0 (2.0) (1.5-2.5)	2.9 (3.0) (2.5-3.0)
General impressions	2.0 (2.0) (1.0-3.0)	1.6 (1.5) (1.0-2.5)	2.3 (2.25) (2.0-3.0)

\* Item scores range from 1.0 to 4.0 (1 = normal, 2 = mildly abnormal, 3 = moderately abnormal, 4 = severely abnormal).

mildly to moderately delayed in the majority of children. Independent ambulation was achieved at a mean age of 19.7 months (median, 18 months; range, 14-30 months). As assessed by the neurologist, all children exhibited oral-motor dysfunction, ranging from mild to severe, with the majority being moderately impaired. Specific areas of dysfunction included poor tongue mobility and low oral motor tone resulting in open mouth posture, excessive drooling, feeding difficulties, and speech problems.

The mean fine motor score (75.3) and gross motor score (71.0) indicated moderate delays in the eight children who were evaluated with the Peabody Motor tests. Of these eight children, seven had slightly lower scores on the gross motor than fine motor scale. A nonsignificant trend of more impaired gross motor than fine motor skills ( $P = 0.0782$ , two-tailed) was found in this smaller cohort.

**ADAPTIVE AND MALADAPTIVE BEHAVIOR** The Vineland Scales mean Adaptive Behavior Composite for the total sample (76.3) was in the mildly delayed range. There was a significant difference between scores from the four behavioral domains (Friedman test statistic = 17.54;  $P \leq 0.001$ ). Post hoc tests revealed that socialization scores were significantly higher than daily living, communication, and motor scores (all  $P$ 's < 0.05, one-tailed), as hypothesized. The daily living, communication, and motor scores were not significantly different from each other. The mean score was within normal limits for socialization skills but delayed for the other three domains.

For all 11 children, the Childhood Autism Rating Scale mean total score of 27.2 (median, 28.5; range, 18.5-38.5) was in the nonautistic category. The mean item scores for the total sample ranged from the normal to mildly abnormal range with imitation, body use, verbal communication, intellectual response, and general impressions being in the mildly abnormal range (Table 4).

### ***Preliminary Neurodevelopmental Characteristics of the Infant and Toddler Subgroups***

In a preliminary manner, the progression of developmental delays in SMS during early childhood was explored by comparing the cross-sectional assessment scores between the infant and toddler subgroups. Possible strengths and weaknesses in specific areas of development were examined separately for the infants and toddlers by comparing scores from the different domains of the language and motor tests as well as the behavioral scales within each age group.

**COGNITIVE FUNCTION** The Bayley Scales Mental Developmental Index scores of the toddler group were significantly lower than those of the infant group ( $P \leq 0.05$ ; two-tailed), suggesting that the cognitive function of the toddlers was more delayed relative to their peers than that of the infants. The mean Mental Developmental Index was mildly delayed (78.0) in the infant subgroup and severely delayed (57.2) in the toddler subgroup.

**LANGUAGE** The toddlers had significantly lower standard scores than the infants in expressive language ( $P < 0.05$ , two-tailed) but not in receptive language. The mean expressive language score was mildly delayed (83.8) for the infant subgroup and severely delayed (60.6) for the toddler subgroup. The mean receptive language score was mildly delayed (83.0) for the infants and moderately delayed (74.4) for the toddlers.

In the infant subgroup, individual scores in both language domains varied from average to delayed. The infants did not exhibit a consistent receptive-expressive pattern or significant discrepancy between receptive and expressive language scores. In the toddler subgroup, however, all expressive language scores were severely delayed and significantly lower than receptive language scores ( $P < 0.05$ ;

one-tailed), with the receptive-expressive discrepancy ranging from 8 to 24 points.

**MOTOR SKILLS** For the eight children evaluated with the Peabody Motor tests, the toddler subgroup had slightly, but not significantly, lower standard scores than the infant subgroup in both the fine and gross motor domains. The mean fine and gross motor scores were mildly to moderately delayed (81.0 and 74.5, respectively) for the infants and severely delayed (69.5 and 67.5, respectively) for the toddlers.

In the infant subgroup, no significant difference was found between the fine and gross motor scores but only four infants received a motor evaluation. Although all these infants had slightly lower gross motor scores, compared with fine motor scores, the discrepancies ranged only from 4 to 9 points. Two infants exhibited fine and gross motor skills that were within normal limits; the other two exhibited delays in both these domains.

In the toddler subgroup, no significant difference was found between the gross and fine motor scores but only four toddlers received the motor evaluation. Three toddlers had slightly lower gross motor scores by 4 to 6 points, and all four were delayed in both domains.

**ADAPTIVE AND MALADAPTIVE BEHAVIOR** The standard scores of the toddler subgroup were significantly lower than those of the infant subgroup on the four Vineland domains (all  $P$ 's  $\leq 0.05$ ; two-tailed). For the infant subgroup, all mean domain scores were within normal limits; in the toddler subgroup, all mean domain scores were in the moderately to severely delayed range.

The infant subgroup had a significant difference between the Vineland domain scores (Friedman test statistic = 11.94;  $P < 0.01$ ), with socialization significantly higher than motor skills ( $P < 0.05$ ; one-tailed). The toddler subgroup also had a significant difference between the Vineland domains (Friedman test statistic = 10.71;  $P < 0.05$ ), with higher scores on socialization than daily living skills ( $P < 0.05$ , one-tailed). The other domains were not significantly different from one another in either subgroup.

On the Childhood Autism Rating Scale, the total scores of the toddlers were higher, indicating more severe autistic-like behaviors, than those of the infants ( $P = 0.052$ , two-tailed). The mean total score was in the mildly to moderately autistic range (30.9) for the toddler subgroup and in the nonautistic range (22.7) for the infant subgroup. On individual items, the toddler subgroup had mildly to moderately abnormal ratings in five areas in which the infant subgroup had normal ratings (imitation, emotional response, object use, verbal communication, and general impressions).

For the infant subgroup, all the Childhood Autism Rating Scale mean scores were in the normal range except for two mildly abnormal mean scores in body use and intellectual response. For the toddler subgroup, the mean scores were in the normal range for eight items, whereas six items (imitation, emotional response, body use, object use, intellectual response, and general impressions) were mildly abnormal, and one (verbal communication) was moderately abnormal.

## Discussion

This study is novel as it addresses the neurodevelopment of a group of young children with SMS based on a prospective multidisciplinary assessment conducted systematically using objective, standardized measures and procedures. Neurodevelopmental test results indicated that the total sample of 11 children with SMS younger than 3 years of age exhibited significant developmental delays in cognitive, language, and motor functioning. As a group, parents reported that socialization skills were within normal limits and significantly higher than communication, daily living, and motor scores, which were delayed. In addition, psychologists' ratings indicated that maladaptive behaviors (e.g., self-injurious behaviors and stereotypies) were present in this young sample but in the nonautistic range overall. Additional evaluations indicated that all children displayed oral motor abnormalities, hypotonia, and middle ear dysfunction. These objective data validate the observations and information presented in earlier case studies obtained with smaller samples and less systematic methods [7,10,29,32]. The present results expand on previous findings by describing the specific ranges of functioning of a sample of young children with SMS in relation to the normative group of typically developing children and by identifying strengths and weaknesses among different developmental domains.

This study also explored the neurodevelopmental characteristics of the infants and toddlers separately to begin investigating the early clinical manifestations of SMS, which may be somewhat different between subgroups of children in this age range. Based on the preliminary cross-sectional analyses comparing developmental assessment scores between the infants and toddlers, a consistent pattern emerged: the toddler subgroup had significantly lower standard scores on standardized measures of cognitive, expressive language, and behavioral functioning and slightly lower motor scores than the infant subgroup. This pattern suggests that the toddlers are more delayed relative to their same-aged peers than the infants are relative to their peers. The functioning of the four infants near 1 year of age or younger generally varied from the normal to the mildly or moderately delayed range, whereas the six toddlers, from 2 to 3 years of age, scored primarily in the moderate to severely delayed range.

Although estimating longitudinal patterns of development based on cross-sectional analysis of a small sample must be considered tentative, the analysis suggests that the developmental delays seen in SMS may progress from infancy to toddlerhood. Even such a preliminary finding is important for clinicians who may diagnose and monitor these young children, and it supports the need for early developmental evaluations and intervention services in infancy, before delays become more pronounced. This information also is useful for researchers because previous studies often combined infants and young children into one group and reported the developmental results collectively. Possible differences in the neurodevelopmental profile of infants and toddlers found in the current study emphasize the importance of reporting on

various age groups separately, even in children less than 3 years of age, and conducting longitudinal research to investigate changes in development over time.

Because the phenotypic features of young children with SMS are often subtle and not well defined, SMS typically is not diagnosed until mid-childhood, when the features become more apparent [4,7,30]. To increase the clinical awareness of SMS in early childhood and to facilitate earlier diagnosis and plan interventions, preliminary findings regarding the salient neurodevelopmental characteristics of the infants and toddlers are summarized here.

### ***Cognitive Function***

Although mild to moderate mental retardation is found in the majority of school-age children with SMS [9,13], the present findings document cognitive delays in all children by 2 to 3 years of age and in some children younger than 18 months. Early cognitive delays should prompt a referral for a developmental evaluation to identify specific strengths and weaknesses and initiate interventions.

### ***Speech and Language***

The test results from this study indicate that language is a vulnerable domain of functioning in young children with SMS with a trend toward poorer expressive skills. Observations during the test sessions indicated that all but one infant exhibited some vocalizations, but babbling and verbal imitation of sounds were limited. At 2 to 3 years of age, when children typically use speech as a primary means of communication, the toddlers with SMS rarely used spoken words to communicate. All six toddlers primarily displayed limited vocalizations that included grunts, gurgles, squeals, and some babbling, thus exhibiting severe impairments in verbal imitation and speech production as indicated by their test scores. Several toddlers used nonverbal communication such as gestures and simple hand signs to communicate their needs. Such expressive language deficits are likely related to the oral sensory motor dysfunction observed in SMS [15].

Expressive language delays also are common in autism [42] and in other genetic syndromes [43,44] and so should serve as an early warning sign and generate a referral for genetic testing to aid in the differential diagnosis. Young children with SMS also need to be referred for speech and language assessment and therapy early in life to promote development and minimize deficits. Middle ear dysfunction was common in this study and is frequently observed in some other genetic syndromes [43,45]. Because persistent and untreated middle ear abnormalities can cause hearing loss and affect language development [46], audiologic and otologic assessment and treatment are essential.

### ***Neurologic and Motor Findings***

Mild to moderate generalized hypotonia and motor delays, with a trend toward lower gross motor than fine motor

function, were early and consistent findings in these young children with SMS. The presentation of hypotonia appears to be clinically different than what is typically seen in other young children exhibiting cognitive delay and low muscle tone, who tend to have hyperreflexia with central hypotonia. In addition, all children in the present cohort exhibited mild to severe oral-motor dysfunction, which affects speech and feeding skills. Such motor deficits have been reported in other genetic disorders as well [44,47-49]. Health care professionals noticing such early motor dysfunction should refer the child for physical, occupational, and speech therapy, as well as for genetic testing.

### ***Behavior***

The significant strength found in the children's socialization skills has been described previously in SMS based on retrospective chart reviews in infants [30] and on objective measures in older children [13]. The mean scores of all the adaptive behavior domains were within normal limits for the infant subgroup and delayed for the toddler subgroup.

Maladaptive autistic-like behaviors were minimal in the infants and more prominent in the toddlers. These preliminary data suggest that maladaptive behaviors are more apparent during the second to third year of life, which is consistent with other published reports [4,5,17,29,30]. Testing observations and parent descriptions of behavior included a few mild repetitive motor movements (e.g., back arching, body rocking, head or hand shaking) in all infants except one 9-month old. Only the two infants who were older than 1 year demonstrated self-injurious behaviors (e.g., mild hitting or biting themselves). All the toddlers between 18 and 36 months, however, exhibited both repetitive behaviors (e.g., tilting head, body rocking, rubbing surfaces, excessive mouthing of objects or fingers, playing with thread and shoelaces, flipping pages, hugging body) and self-injurious behaviors (e.g., hitting or biting self, banging head, pulling hair). In addition, parents of the older infants and toddlers reported refusal of solid foods and less sensitivity to pain. Although this was not assessed in this study, sleep disturbances also have been documented in SMS by 1 year of age [7,23].

Age-appropriate social skills combined with the lack of maladaptive behaviors in the young infants with SMS may prevent early diagnosis because their behavior may appear similar to normally developing babies. Infants with SMS often are suspected to have Down syndrome [4], another genetic disorder that displays well-developed socialization abilities, hypotonia, expressive language delays [50], and a dysmorphic facial appearance [7]. In toddlers, some of the maladaptive behaviors as well as expressive language deficits are similar to those seen in autism [13], but children with SMS display generally age-appropriate social skills. Thus, awareness of the neurobehavioral aspects of SMS in infants and young children and how they are similar and different from other syndromes is important for purposes of early diagnosis and intervention.

## Study Limitations

The present results should be considered preliminary because of the small sample size, particularly between the ages of 1 and 2 years. However, the diagnosis of SMS in infancy is so rare that the patient population from which to obtain children is very small. These data also were collected on a natural history study designed to describe development without controlling for factors that may affect functioning, such as socioeconomic status, parental characteristics, and level of intervention services. Additional limitations include the cross-sectional design and multiple comparisons of the infant and toddler subgroups that were performed without statistical adjustment because of the exploratory nature of the analyses. Thus, all significant findings about these age groups should be considered tentative. Nonetheless, this is the largest published study of children with SMS younger than 3 years of age assessed prospectively with standardized measures. Despite dividing the sample into two smaller subgroups, administering the same standardized measures to both the infants and toddlers allows the scores to be compared, and these preliminary findings can help guide future research and improve the clinical awareness of SMS in young children. Systematic longitudinal studies beginning in infancy are sorely needed to examine the changes in various developmental domains over time. The children in this study are being assessed periodically, and the longitudinal data analyses are planned for the future.

## Summary

This study systematically evaluated a group of children with SMS less than 3 years of age to provide a better understanding of the early neurodevelopmental characteristics of the disorder. Given the significant and pervasive developmental and behavioral impairments identified in these young children, a multidisciplinary team approach is critical for the appropriate management of SMS that includes comprehensive assessments and early intervention services. In addition, parents would benefit from training in sign language and behavior modification to help prevent and manage the developmental and behavioral challenges characteristic of SMS. Therefore, early diagnosis and intervention, facilitated by a better understanding of the specific neurodevelopmental features of infants and young children with SMS, are important first steps in managing the multidisciplinary and complex needs of this syndrome. Future studies should report on different age groups separately so that specific characteristics are not masked by overall effects, and should collect longitudinal data to investigate developmental changes throughout childhood.

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This research was supported in part by the Intramural Research Program of the National Institutes of Health (NIH), National Human Genome Research Institute (NIH-NHGRI), and the National Cancer Institute (NIH-NCI) Center for Cancer Research, through a Bench-to-Bedside award from the NIH

Clinical Center to the Medical Genetics Branch of the NHGRI, and by NCI contracts 71004-09, N01-SC-07006, and HHSN261200477004C with the Medical Illness Counseling Center (MICC). Additional support was obtained through the intramural research fund Z01-DC-000064-05 of the National Institute on Deafness and Other Communication Disorders (NIH-NIDCD). The authors express their sincere appreciation to the families who participated in this research, and also extend their gratitude to the other members of the Smith-Magenis syndrome research team, especially Donna M. Krasnewich, MD, Suzan Parada, RN, Mary Anne Toledo-Tamula, MA, Joanne E. Flanagan, MS, OT, and Rebecca Morse, MA.

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